



Comptroller of the Currency
Administrator of National Banks

US Department of the Treasury

OCC BANKING RELATIONS

Risk Assessment for Directors: Where Is the Risk in Your Bank?

Register Today!

■ **Miami, FL***
— *January 12, 2010*

■ **Boston, MA***
— *June 15, 2010*

■ **Tulsa, OK***
— *July 20, 2010*

■ **Peoria, IL***
— *July 27, 2010*

■ **Kansas City, MO***
— *September 21, 2010*

■ **Dayton, OH***
— *October 5, 2010*

■ **San Diego, CA***
— *November 2, 2010*

■ **Pittsburgh, PA***
— *November 16, 2010*

Workshop *for* National Community Bank Directors

Risk Assessment for Directors: Where Is

Fresh Challenges for Community Bank Directors

Effectively measuring and managing risk is crucial to the success of community banks in these days of economic uncertainty. While bank managers deal with risk-related issues day-to-day, directors must maintain a level of knowledge that will best enable them to fulfill their oversight responsibilities.

This one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks to:

- Enhance understanding of the OCC's approach to risk-based supervision
- Increase familiarity with the major risks in commercial banking
- Review common ways to identify, measure, monitor, and control risk
- Learn the risk-related questions to ask managers, auditors, and regulators

Communicate With the Regulators

You will interact with three instructors who are experienced bank examiners from the OCC. They are seasoned professionals who regularly assess bank risk and risk management systems and meet with bank managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 national community bank directors per workshop to allow for open and targeted dialogue. Pre-course reading materials will also be made available to enhance your workshop experience.

Who Should Attend

This workshop is geared primarily to outside directors of national community banks that have assets less than \$1 billion. However, management directors could also benefit from attendance.

“Directors need to understand the full gamut of risks — financial and non-financial — that banks face today. If those risks are not well understood and managed, they can result in serious damage to a bank’s reputation and its bottom line.”

John C. Dugan,
Comptroller of the Currency

Meet the Instructors



Tom Munz

*Assistant Deputy Comptroller,
Central District*



Janice McQuary

*Assistant Deputy Comptroller,
Southern District*



Tom Jorn

*Assistant Deputy Comptroller,
Western District*



Sheila Van Ornum

*Assistant Deputy Comptroller,
Central District*



Randy Fenimore

*Assistant Deputy Comptroller,
Southern District*

the Risk in Your Bank?

AGENDA

Attire is business casual.

Reception

A reception will be held from 5:00 p.m. to 7:00 p.m. on one selected evening. Details will be provided with workshop materials. Attendees can meet informally with OCC faculty. Hors d'oeuvres and beverages will be served.

Workshop

7:00 a.m. Registration and Continental Breakfast

8:00 a.m. Morning Session

- Introductions and Program Overview
 - Hot Topics
- CAMELSI
- Risk Assessment Overview
 - Credit Risk

12:00 p.m. Lunch

1:00 p.m. Afternoon Session

- Risk Assessment Overview (continued)
 - Interest Rate Risk
 - Liquidity Risk
 - Compliance and Audit Risk
 - Operational/Reputational Risk
 - Strategic Risk
- Wrap-up

4:00 p.m. Adjourn

“I know I will be a better director after attending this session.”

Outside director
Four years on board
\$150 million national bank



Debbie Garland

*Assistant Deputy Comptroller,
Southern District*



Rick Schobert

*Senior Advisor for Examiner
Workforce Planning,
Midsize/Community Bank
Supervision*



Kris Kiefer

*Assistant Deputy Comptroller,
Northeastern District*



Chris Dunn

*Assistant Deputy Comptroller,
Southern District*



Troy Thornton

*Assistant Deputy Comptroller,
Western District*

Dates and Locations

- **Miami, Florida***
January 12, 2010
Hyatt Regency Coral Gables
Hotel Reservations: (305) 441-1234
Room Rate: \$189.00
www.coralgables.hyatt.com
- **Boston, Massachusetts***
June 15, 2010
Hyatt Regency Boston
Hotel Reservations: (800) 233-1234
Room Rate: \$231.00
www.regencyboston.hyatt.com
- **Tulsa, Oklahoma***
July 20, 2010
Hilton Tulsa Southern Hills
Hotel Reservations: (800) 365-1701
Room Rate: \$81.00
www.hiltontulsa360.com
- **Peoria, Illinois***
July 27, 2010
Embassy Suites
Hotel Reservations: (309) 694-0200
Room Rate: \$129.00
www.embassysuiteseastpeoria.com
- **Kansas City, Missouri***
September 21, 2010
Westin Crown Center
Hotel Reservations: (816) 474-4400
Room Rate: \$149.00
www.starwoodhotels.com/westin
- **Dayton, Ohio***
October 5, 2010
Doubletree Hotel and Suites
Hotel Reservations: (800) 222-8733
Room Rate: \$109.00
www.doubletreedayton.com
- **San Diego, California***
November 2, 2010
Hyatt Regency Mission Bay
Hotel Reservations: (800) 233-1234
Room Rate: \$185.00
www.missionbay.hyatt.com
- **Pittsburgh, Pennsylvania***
November 16, 2010
Hilton Pittsburgh Hotel
Hotel Reservations: (800) 445-8667
Room Rate: \$149.00
www.hiltonpittsburgh.com

Hotel room rates for each location are guaranteed until the following dates:

Hyatt Regency Coral Gables, Miami, FL
— December 21, 2009

Hyatt Regency Boston, Boston, MA
— May 24, 2010

Hilton Tulsa Southern Hills, Tulsa, OK
— July 3, 2010

Embassy Suites, Peoria, IL
— July 3, 2010

Westin Crown Center, Kansas City, MO
— August 30, 2010

Doubletree Hotel and Suites, Dayton, OH
— September 10, 2010

Hyatt Regency Mission Bay, San Diego, CA
— October 1, 2010

Hilton Pittsburgh Hotel, Pittsburgh, PA
— October 15, 2010

Hotel rates for this program have been discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Bank Directors' Workshop. If you encounter difficulties in making your reservations, please call (336) 451-0557 for assistance.

Reception

A reception will be held from 5:00 p.m. to 7:00 p.m. on one selected evening. Details will be provided with workshop materials. Attendees can meet informally with OCC faculty. Hors d'oeuvres and beverages will be served.

Registration Fee

The registration fee is \$65, payable at the time of registration. The price includes:

- Pre-course reading package
- Course materials
- Continental breakfast, lunch, and reception
- CD of OCC's popular "Audit and Internal Controls" telephone seminar
- Bank Director's Toolkit, which includes The Director's Book, Red Flags in Board Reports, and the Internal Controls guide
- Community Bank Supervision Handbook

*Consecutive session with the credit risk and/or compliance risk workshops. Participants may attend one or more of these sessions.

Special Needs

If you require special accommodations to participate in this workshop, please call (202) 874-6415.

Cancellation Policy

All cancellations must be in writing. Cancellations will be accepted by e-mail to regcenter@cmpmeetings.com, or fax notification to (336) 644-6205. If a cancellation is received less than one month before the workshop, no refund will be issued.

Questions

For additional workshop information, please call (336) 451-0557 or send an e-mail to regcenter@cmpmeetings.com.



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OCC National Community Bank Director Risk Assessment Workshop Registration Form PART 2

Attendee Information

Name: Mr./Ms./Mrs./Dr. _____
(Please circle one) First Name Last Name

Name on Badge _____
First Name Last Name

Title: _____ Director: Inside Outside Other

Home Address: _____
Street

City State ZIP Code

Daytime Telephone: _____ Fax: _____

Attendee E-mail: _____

Assistant's E-mail: _____

Emergency Contact

Emergency Contact Name: _____
(friend or family)

Emergency Contact Phone Number: (Home or Cell) _____
(do not use the bank phone number)

Special Needs/Comments

If you have special needs that may affect your participation in this event, please check here .
OCC will contact you to discuss your needs.

If you have any comments or information concerning your registration that you wish to add, please do so below.

For workshop information, please contact (336) 451-0557. Registration Center Hours: 8:30 a.m. – 5:00 p.m. ET.

Registration is not complete until you receive your confirmation letter in the mail.

FOR REGISTRATION CENTER USE ONLY

CONFIRMATION NUMBER: _____