

Foreign Certification

All persons who are foreign nationals or United States citizens who currently reside in a foreign country must submit this certification. Provide this form to the bank in which you have your primary banking relationship (loans and deposit accounts) and to any other officials from whom the OCC instructs you to obtain a certified statement. Request that a person in a position of authority complete the form and submit it with your filing. **Filings will not be considered complete without this certification.** A list of businesses or enterprises, for which you are an officer, director, or controlling owner, must be supplied to certifying officials with this form.

Applicant/person

Complete the following for the certifying official:

_____	_____	_____
(First)	(Middle)	(Last)

(Other Names Used)		

(Address)		

Instructions to Certifying Officials

The person previously identified seeks clearance to enter the depository institution system in the United States of America. To help us assess the person's personal integrity, financial capacity, and suitability for involvement in the depository institution system, you are requested to complete *all applicable items* on this form and return it to the person for submission to the Comptroller of the Currency, (insert district office address).

Type or print clearly all responses.

All Officials

Complete the following:

1. Do you have any adverse information about this person's honesty, trustworthiness, or personal integrity or about activities involving this person that violated or allegedly violated the law?

Yes

If "yes," provide details.

2. To the best of your knowledge, has the named person or any business or enterprise, in which the person is an officer, director, or controlling owner, ever been charged, arrested, indicted, or convicted of a criminal offense or ever been subject to other disciplinary action? (A list of pertinent businesses or enterprises should have been supplied by the person submitting this request.)

Yes No

If "yes," describe the nature of the charge, date, and disposition.

3. Are there any reasons why you would not recommend this person for clearance and entry into the depository institution system of the United States of America?

Yes No

If "yes," provide reasons.

Bank Officials Only

Complete the following:

1. How long has this person had a relationship with the bank?

_____ Months _____ Years

2. Has the named person or any business or enterprise in which the person is an officer, director, or controlling owner caused the bank to sustain a loss or been subjected to criticism by the bank's regulator as a result of an extension of credit or due to his/her relationship with the bank?

Yes No

If "yes," provide details.

I certify that the information provided is complete and accurate as of this date (insert date). This certification is based on (*provide brief description of records or information sources checked*).*

Signature

Name and Position (type or print clearly)

Location of Authority/Agency/Bank

Telephone Number (including country code) Fax Number

* Sign any additional pages.