

# Risk Governance

## Improving Director Effectiveness

### 2016 Workshop Locations

**Atlanta, GA**

March 15

**Williamsburg, VA**

April 19

**Springfield, IL**

May 3

**Milwaukee, WI**

June 28

**Kansas City, MO**

August 16

**Houston, TX**

November 29

**Philadelphia, PA**

December 6

**REGISTER  
TODAY!**



# 2016 OCC Community Bank Director Workshops

## Risk Governance Improving Director Effectiveness

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### Fresh Challenges for Directors

Effectively measuring and managing risk is crucial to the success of financial institutions in these days of economic uncertainty. While managers deal with risk-related issues day-to-day, directors should develop and maintain a level of knowledge that best enables them to fulfill their oversight responsibilities. This one-day workshop combines lectures, discussions, and exercises designed specifically for outside directors of national community banks and federal savings associations. Risk governance topics to be addressed are

- enhancing understanding of the OCC's approach to risk-based supervision.
- increasing familiarity with major risks in the financial industry.
- recognizing common ways to identify, measure, monitor, and control risk.
- learning the risk-related questions to ask managers, auditors, and regulators.

### Communicate With the Regulators

You will interact with instructors who are experienced OCC examiners. They are seasoned professionals who regularly assess risk management systems and meet with senior managers and directors to discuss their findings and evaluations. They will share their experiences to help you improve your knowledge and skills. Enrollment is limited to 35 directors per workshop to allow for open and targeted dialogue.

### Who Should Attend

This workshop is geared primarily to outside directors of national community banks and federal savings associations. Management directors could also benefit from attendance.

## Meet the Instructors

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**Thomas Angstadt**  
Assistant Deputy Comptroller  
Northeastern District



**Gary Baranowski**  
Assistant Deputy Comptroller  
Central District



**Julie Blake**  
Assistant Deputy Comptroller  
Central District



**Tom Jorn**  
Assistant Deputy Comptroller  
Western District



**Kris Kiefer**  
Deputy Comptroller  
Northeastern District



**Trish Lindsey**  
Assistant Deputy Comptroller  
Southern District



**Janice McQuary**  
Associate Deputy Comptroller  
Southern District

## Agenda

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Attire is business casual.

### Workshop

Registration begins at 7:00 a.m. The workshop begins promptly at 8:00 a.m. and ends by 4:00 p.m. Lunch is provided.

The workshop covers

- risk assessment system overview.
- CAMELS.
- strategic risk.
- capital planning.
- cybersecurity risk.
- bank case studies illustrating risk management successes and failures.
- hot topics.



**Emmitt Odom**  
*Assistant Deputy Comptroller  
Northeastern District*



**Kurt Raney**  
*Assistant Deputy Comptroller  
Western District*



**Terry Richter**  
*Assistant Deputy Comptroller  
Southern District*



**Troy Thornton**  
*Associate Deputy Comptroller  
Central District*



**Sheila Van Ornum**  
*Assistant Deputy Comptroller  
Central District*



**John Vivian**  
*Director for Risk Governance  
Supervision Risk Management*

## Part 1

- March 15, 2016**  
Atlanta, GA\*
- April 19, 2016**  
Williamsburg, VA\*
- May 3, 2016**  
Springfield, IL\*
- June 28, 2016**  
Milwaukee, WI\*
- August 16, 2016**  
Kansas City, MO\*
- November 29, 2016**  
Houston, TX\*
- December 6, 2016**  
Philadelphia, PA\*
- Please register online at [www.seiservices.com/occ](http://www.seiservices.com/occ), fax registrations to (240) 485-1982, or mail to SEI Services, Attn: OCC 2016, 8757 Georgia Ave., Suite 1440, Silver Spring, MD 20910.
- You must be on the board of directors of a national community bank or federal savings association.
  - Registrations are accepted on a space-available basis only. A wait list will be established for all sessions that reach maximum capacity.
  - Registration fee for the workshop is \$99 per participant.
  - All cancellations must be in writing. Cancellations are accepted by e-mail to [occ@seiservices.com](mailto:occ@seiservices.com) or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.
  - Completion of this form in its entirety is required for conference registration.

### Institution Information

Institution Name: \_\_\_\_\_

Asset Size \$ (Millions)  <50  50-99  100-149  
 150-249  250-499  500-749  
 750-1B  >1B

Institution Address: \_\_\_\_\_  
Street  
\_\_\_\_\_  
City State Zip Code

Telephone: \_\_\_\_\_

Point of Contact: \_\_\_\_\_

### Payment Information

Billing Name: \_\_\_\_\_

Billing Address: \_\_\_\_\_  
Street  
\_\_\_\_\_  
City State Zip Code

Credit Card Type:  Amex  Visa  MC Expiration Date: \_\_\_\_\_

Credit Card Number: \_\_\_\_\_

Security Code: \_\_\_\_\_

Signature of Cardholder: \_\_\_\_\_

If other payment arrangements are needed, please contact the reservation center at (240) 485-1700 or [occ@seiservices.com](mailto:occ@seiservices.com).

\* Consecutive session with the compliance risk or credit risk workshops. Participants may attend one or more of these sessions.

## Part 2

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### Attendee Information

Name: Mr./Ms./Mrs./Dr. \_\_\_\_\_  
(Please circle one) First Name Last Name

Name on Badge: \_\_\_\_\_  
First Name Last Name

Title: \_\_\_\_\_ Director:  Inside  Outside  Other

Home Address: \_\_\_\_\_  
Street  
\_\_\_\_\_  
City State Zip Code

Daytime Telephone: \_\_\_\_\_ Fax: \_\_\_\_\_

Attendee's E-mail: \_\_\_\_\_

Assistant's E-mail: \_\_\_\_\_

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### Emergency Contact

Emergency Contact Name: \_\_\_\_\_  
(friend or family)

Emergency Contact Phone Number (Home or Cell): \_\_\_\_\_  
(do not use the bank phone number)

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### Comments

If you have any comments or information concerning your registration that you wish to add, please do so below.

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For workshop information, please contact (240) 485-1700. Registration center hours: 8:30 a.m.–5:30 p.m. Eastern time.

FOR REGISTRATION CENTER USE ONLY

CONFIRMATION NUMBER: \_\_\_\_\_

## Dates and Locations

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### Atlanta, GA\*—March 15, 2016

DoubleTree Atlanta Downtown  
Hotel Reservations: (404) 688-8600  
Room Rate: \$162.00  
www.doubletree.com

### Williamsburg, VA\*—April 19, 2016

DoubleTree Williamsburg  
Hotel Reservations: (800) 560-7753  
Room Rate: \$93.00  
www.doubletree.com

### Springfield, IL\*—May 3, 2016

Wyndham Springfield City Centre  
Hotel Reservations: (217) 789-1530  
Room Rate: \$100.00  
www.wyndham.com

### Milwaukee, WI\*—June 28, 2016

Crowne Plaza Milwaukee Airport  
Hotel Reservations: (414) 764-5300  
Room Rate: \$115.00  
www.crowneplaza.com

### Kansas City, MO\*—August 16, 2016

The Westin Kansas City at Crown Center  
Hotel Reservations: (816) 474-4400  
Room Rate: \$196.00  
www.westin.com/kansascity

### Houston, TX\*—November 29, 2016

Royal Sonesta Hotel Houston  
Hotel Reservations: (713) 627-7600  
Room Rate: \$172.00  
www.sonesta.com/royalhouston

### Philadelphia, PA\*—December 6, 2016

Sheraton Suites Philadelphia Airport  
Hotel Reservations: (800) 325-3535  
Room Rate: \$165.00  
www.sheratonphiladelphiaairport.com

### Hotel room rates for each location are guaranteed until the following dates:

DoubleTree Atlanta Downtown, Atlanta, GA	February 15, 2016
DoubleTree Williamsburg, Williamsburg, VA	March 21, 2016
Wyndham Springfield City Centre, Springfield, IL	April 4, 2016
Crowne Plaza Milwaukee Airport, Milwaukee, WI	May 31, 2016
Westin Kansas City at Crown Center, Kansas City, MO	July 18, 2016
Royal Sonesta Hotel Houston, Houston, TX	October 31, 2016
Sheraton Suites Philadelphia Airport, Philadelphia, PA	November 7, 2016

Hotel rates for this program are discounted. To make your reservations on a space-available basis, please contact the hotel directly. Some hotels may honor the rate before and after the workshop. When making reservations, please mention the function name: OCC Director Workshops. If you encounter difficulties in making your reservations, please call (240) 485-1700 for assistance.

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## Registration Fee

The registration fee is \$99, payable at the time of registration. The price includes

- pre-course reading package.
- course materials.
- The Director's Toolkit, which includes *The Director's Book*, "Detecting Red Flags in Board Reports," and the "Internal Controls" booklet of the *Comptroller's Handbook*.
- lunch.

## Special Needs

If you require special accommodations to participate in this workshop, please call (202) 649-6733.

## Cancellation Policy

All cancellations must be in writing. Cancellations are accepted by e-mail to [occ@seiservices.com](mailto:occ@seiservices.com) or by fax to (240) 485-1982. If a cancellation is received less than one month before the workshop, no refund will be issued.

## Questions

For additional workshop information, please call (240) 485-1700 or send an e-mail to [occ@seiservices.com](mailto:occ@seiservices.com).

\*Consecutive session with the compliance risk or credit risk workshops. Participants may attend one or more of these sessions.