

**#2013-120**

*Terminates* OTS Order #WN 11-003

**UNITED STATES OF AMERICA  
DEPARTMENT OF THE TREASURY  
OFFICE OF THE COMPTROLLER OF THE CURRENCY**

**TERMINATION OF THE  
AGREEMENT BY AND BETWEEN  
SOUTHWEST SECURITIES, FSB  
DALLAS, TEXAS  
AND THE COMPTROLLER OF THE CURRENCY**

WHEREAS, in an effort to protect the depositors, other customers and shareholders of Southwest Securities, FSB, Dallas, Texas (Bank), and to ensure the Bank's safe and sound operation, the Bank and the Office of Thrift Supervision of the United States of America (OTS), entered into an Order to Cease and Desist (Order), dated February 4, 2011; and

WHEREAS, pursuant to Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act, Pub. L. 111-203, 124 Stat. 1376 (2010), all functions of the OTS related to Federal saving associations were transferred to the Office of the Comptroller of the Currency of the United States of America (Comptroller)<sup>1</sup>;

WHEREAS, the Comptroller believes that the protection of the depositors, other customers and shareholders of the Bank, as well as, its safe and sound operation do not require the continued existence of said Order; and

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<sup>1</sup> See Dodd-Frank Act § 312(b), 12 U.S.C. § 5412.

NOW, THEREFORE, the Comptroller directs that the Order between the Bank and the Comptroller be, and it hereby is, TERMINATED.

IN TESTIMONY WHEREOF, the undersigned, designated by the Comptroller as his authorized representative, has hereunto set her hand.

/s/

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Nanalie K. Andress  
Assistant Deputy Comptroller  
Dallas Field Office

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Date: 01/14/2013