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In the attachment, the Office of Thrift Supervision (OTS) has amended the ex parte communications provisions of the Uniform Rules of Practice and Procedure in Adjudicatory Proceedings. These rules govern the conduct of persons involved in enforcement actions before OTS.

The rule clarifies that OTS enforcement staff are not precluded from seeking approval from the agency’s director to amend or terminate enforcement actions. Thus, the provisions of the uniform rules relating to ex parte communications conform to the requirements of the Administrative Procedure Act (APA).

The Office of the Comptroller of the Currency, Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, and National Credit Union Administration are in various stages of adopting the same changes to their uniform rules.


Jonathan L. Fiechter
Acting Director
Office of Thrift Supervision

Attachment