

RESCINDED

Outdated – See OCC 2020-11
For more recent information, refer to
Comptroller's Handbook, "Privacy of Consumer
Financial Information."

OCC Bulletin 2001-51| December 12, 2001

Privacy of Consumer Financial Information: Small Bank Compliance Guide

As of January 6, 2012, this guidance applies to federal savings associations in addition to national banks.*

The OCC has prepared a "Small Bank Compliance Guide" to help community banks comply with the rule implementing the privacy provisions of the Gramm–Leach–Bliley Act. A copy of the guide is attached.

The guide begins with an overview of a bank's basic obligations under the privacy rule, followed by a summary of the rule, a privacy preparedness checklist, and questions and answers. In each part of the guide, we focus on issues that we believe are of particular interest to community banks.

The overview, summary, and checklist were prepared by the OCC, while the questions and answers were prepared on an interagency basis by the OCC, together with the Federal Reserve Board, the Federal Deposit Insurance Corporation, the Office of Thrift Supervision, and the National Credit Union Administration, in consultation with the Securities and Exchange Commission, the Federal Trade Commission, and the Commodity Futures Trade Commission.

For further information, contact Compliance Policy (202) 649-5470.

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*References in this guidance to national banks or banks generally should be read to include federal savings associations (FSA). If statutes, regulations, or other OCC guidance is referenced herein, please consult those sources to determine applicability to FSAs. If you have questions about how to apply this guidance, please contact your OCC supervisory office.

Related Links

- [Privacy Rule: Small Bank Compliance Guide](#)

To

Chief Executive Officers of All
National Banks, Department and
Division Heads, All Examining
Personnel, and Other Interested
Parties