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Report of Examination: FFIEC Policy and Rescissions

Summary

The Federal Financial Institutions Examination Council (FFIEC) members¹ issued today the “Federal Financial Institutions Examination Council Policy Statement on the Report of Examination.” The policy statement rescinds the “Interagency Policy Statement on the Uniform Core Report of Examination” issued October 1, 1993. OCC Examining Bulletin 1993-7, “Interagency Common Core Report of Examination,” is also rescinded.

The Office of the Comptroller of the Currency (OCC) is reviewing and updating its report of examination policy contained in the “Bank Supervision Process” booklet of the *Comptroller’s Handbook*. Until the OCC updates the “Report of Examination” section of the “Bank Supervision Process” booklet, the streamlined report of examination may be used for any OCC-supervised bank.

To

Chief Executive Officers of All
National Banks, Federal Savings
Associations, and Federal
Branches and Agencies of
Foreign Banking Organizations;
Department and Division Heads;
All Examining Personnel; and
Other Interested Parties

Note for Community Banks

The OCC uses this FFIEC policy in its supervision of all banks and in the development of the OCC’s report of examination policy.

Highlights

The FFIEC ROE policy

- contains a minimum set of report of examination principles, which
 - represent minimum expectation of content to be included in all reports of examination.
 - provide guidance on the preferred presentation of information.
- allows the OCC to determine the specific format of its reports of examination.
- provides the OCC with flexibility to continue to adapt its reports of examination to evolving bank supervision processes, advancing technologies, and industry feedback.

Further Information

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Related Links

- [FFIEC Policy Statement on the Report of Examination](#) (PDF)
- ["Bank Supervision Process"](#)

¹The FFIEC comprises the principals of the following: The Board of Governors of the Federal Reserve System, Federal Deposit Insurance Corporation, National Credit Union Administration, Office of the Comptroller of the Currency, Consumer Financial Protection Bureau, and State Liaison Committee.

Topic(s):

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